

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : E-Lee Chang, et al.  
Ser. No. : 10/003,557  
Filing Date : November 2, 2001  
For : SYSTEM AND METHOD FOR RECORDING A  
DIGITAL VIDEO IMAGE



ASSISTANT COMMISSIONER FOR PATENTS

Attention: Box Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

Enclosed herewith for filing in the above-identified application are copies of the following documents:

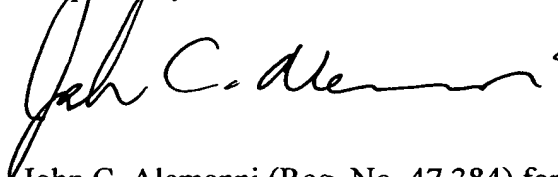
- 1) Part 2 - NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION;
- 2) Declaration and Power of Attorney (executed);
- 3) Fee Transmittal; and
- 4) Check in the amount of \$130.00 (surcharge)

The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Please address all correspondence and telephone calls in connection with this application to:

John S. Pratt, Esq.  
Kilpatrick Stockton LLP  
Suite 2800, 100 Peachtree Street  
Atlanta, GA 30309-4530  
(404) 815-6367 (Phone)  
(404) 815-6555 (Facsimile)

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Alemanni". The signature is fluid and cursive, with the first name "John" being more prominent.

John C. Alemanni (Reg. No. 47,384) for  
John S. Pratt (Reg. No. 29,476)

Date: 1/16/02  
Kilpatrick Stockton LLP  
1001 West Fourth Street  
Winston-Salem, NC 27101  
(336) 607-7300



## EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number : EL 894 962 727 US

Date of Deposit : January 17, 2002

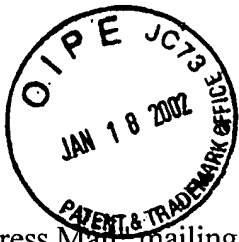
Type of Documents : Response to Notice to File Missing Parts  
(in duplicate);  
Part 2 – Notice to File Missing Parts of  
Nonprovisional Application;  
Declaration;  
Fee Transmittal;  
Check in the amount of \$130.00  
(declaration surcharge); and  
Return postcard

Application No. : 10/003,557

Filing Date : November 2, 2001

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Heather Norman (signature)



# EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number	:	EL 894 958 475 US
Date of Deposit	:	January 18, 2002
Type of Documents	:	Letter to Assistant Commissioner for Patents; Copy of documents filed with the US. Patent and Trademark Office January 16, 2002; Copy of Express Mail Certificate: <i>EL 894 962 727 US</i> showing the official "date-in" notation of the U.S. Postal Service; and Return postcard
Application No.	:	10/003,557
Filing Date	:	November 2, 2001

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

 (signature)  
Heather Norman



**POSTCARD ACKNOWLEDGEMENT OF RECEIPT OF  
PAPERS BY PATENT AND TRADEMARK OFFICE**

The following papers were received in the U.S. Patent and Trade Office:

Applicant: E-Lee Chang, et al.

Title of Invention: System and Method for Recording a Digital Video Image

C/M# .36968-262344, Serial No 10/003,557... Filed 11/2/01

- |                                                                       |                                                                 |
|-----------------------------------------------------------------------|-----------------------------------------------------------------|
| <input type="checkbox"/> Specification-No. of Pages                   | <input type="checkbox"/> Amendment                              |
| <input type="checkbox"/> Claims-No. of Claims                         | <input type="checkbox"/> Request for Extension of Time          |
| <input type="checkbox"/> Drawings-No. of Sheets                       | <input checked="" type="checkbox"/> Fee: Enclosed \$130         |
| <input checked="" type="checkbox"/> Declaration and Power of Attorney | <input checked="" type="checkbox"/> Certificate of Express Mail |
| <input type="checkbox"/> Assignment                                   | No.: EL 894 962 727 US                                          |
| <input type="checkbox"/> Issue Fee \$                                 | <input checked="" type="checkbox"/> Response to Notice to File  |
| <input checked="" type="checkbox"/> Part 2 - Notice to File Missing   | Missing Parts (in duplicate)                                    |
| <input type="checkbox"/> Parts of Nonprovisional Application          |                                                                 |
| <input checked="" type="checkbox"/> Fee Transmittal                   |                                                                 |

PTO Attorney/Examiner:

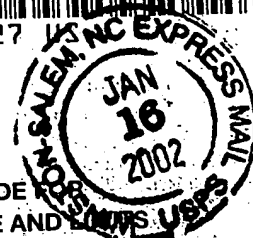
Date Mailed to PTO: 1-17-02



# POST OFFICE TO ADDRESSEE



EL 894962727



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PO ZIP Code 2710	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second
Date in 1-16-02	Postage \$
Time in 11:58 AM	Return Receipt Fee
Weight 3.7 lbs.	Int'l Alpha Country Code
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Accepted Clerk Initials KK
	Total Postage & Fees \$12.45

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METHOD OF PAYMENT: X271093

Express Mail Corporate Acct. No.

FROM: (PLEASE PRINT) PHONE ( )

KILPATRICK STOCKTON LLP  
1001 W 4TH ST  
WINSTON-SALEM NC 27101-2410  
John C. Alemanni, Esq.  
36968-262344

Federal Agency Acct. No. or  
Postal Service Acct. No.

TO: (PLEASE PRINT) PHONE ( )

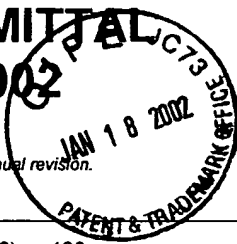
Assistant Commissioner for Patents  
Box: MISSING PARTS  
Washington, DC 20231

FOR PICKUP OR TRACKING CALL 1-800-222-1811 [www.usps.com](http://www.usps.com)



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.



## Complete if Known

Application Number	10/003,557
Filing Date	November 2, 2001
First Named Inventor	E-Lee Chang, et al.
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	36968-262344 (BS01255)

TOTAL AMOUNT OF PAYMENT (\$ 130

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 16-1435

Deposit Account Name Kilpatrick Stockton LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0

### 2. EXTRA CLAIM FEES

Total Claims		-20 **	=	0	X		=	0
Independent Claims		-3 **	=	0	X		=	0
Multiple Dependent					X		=	0

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 130

## SUBMITTED BY

## Complete (if applicable)

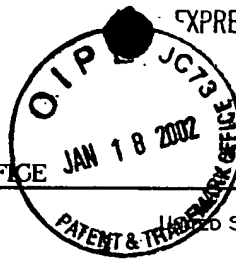
Name (Print/Type)	John C. Alemanni, Esq. for John S. Pratt	Registration No. Attorney/Agent)	47,384	Telephone	336.607.7311
Signature	<i>John C. Alemanni</i>			Date	1/16/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE



EXPRESS MAIL NO. EL8749027271  
Page 1 of 1

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,557	11/02/2001	E-Lee Chang	36968/262344

CONFIRMATION NO. 5022

FORMALITIES LETTER



\*OC00000007254737\*

30314  
JOHN S. PRATT  
KILPATRICK STOCKTON LLP (BELLSOUTH)  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA, GA 30309

Date Mailed: 01/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

A.T.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





01-22-02 EXPRESS MAIL NO. EL894958475 US

Section

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : E-Lee Chang, et al.  
Ser. No. : 10/003,557  
Fil. Date : November 2, 2001  
For : SYSTEM AND METHOD FOR RECORDING A DIGITAL VIDEO IMAGE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

**LETTER**

S I R:

The attached Express Mail Certificate EL 894 962 727 US, filed January 16, 2002, with the Patent and Trademark Office, shows an incorrect "Date of Deposit" of January 17, 2002. Applicant attaches hereto Express Mail Label – EL 894 962 727 US confirming the actual date of deposit and mailing of January 16, 2002.

Neither Applicant, Applicant's attorney, nor the signatory to the Certificate had any deceptive intent when committing the error noted above.

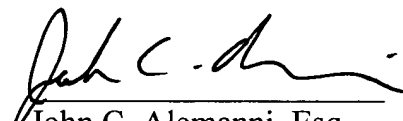
This transmittal is not intended as a petition pursuant to 37 C.F.R. § 1.10(e) or otherwise, and accordingly, no fee is believed due. However, Applicant authorizes the Commissioner to charge any fees due or credit any over payments to Deposit Account No. 1645.

Respectfully submitted,

Date:

1/18/02

By:

  
John C. Alemanni, Esq.  
(Reg. No. 47,384)

KILPATRICK STOCKTON LLP  
1001 West Fourth Street  
Winston-Salem, North Carolina 27101  
(336) 607-7300

8181:36968-262344  
WINLIB01:928248.1